



# DECLARATION OF INVENTORS

As a below named inventor, we declare that:

Our residence, post office address and citizenship are as stated below next to our names; that we believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention or design entitled **PHOTONIC SIGNAL REPORTING OF ELECTROCHEMICAL EVENTS**, the specification of which of which was filed on July 22, 2003;

That we have each reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and that acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to us to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

<u>Application Serial Number</u>	<u>Date</u>	<u>Status</u>
10/393,942	March 21, 2003	Pending

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

<u>60/398,198</u>	<u>July 23, 2002</u>
(Application No.)	(Filing Date)

We declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Send Correspondence To:

Practitioners at Customer Number

**05073**

Direct Telephone Calls To:

Bradley P. Williams  
at 214.953.6447  
Atty. Docket No. 017575.0858 (TAMUS 1915)

Full name of the joint inventor:

Richard M. Crooks

Inventor's signature


Date

7 - 25 - 2003

Residence (City, County, State)

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Citizenship

United States of America


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Full name of the joint inventor:

Li (nmi) Sun

Inventor's signature



Date

July 25, 2003

Residence (City, County, State)

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Citizenship

United States of America

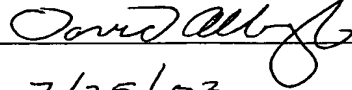
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Full name of the joint inventor:

David (nmi) Albagli

Inventor's signature

  
\_\_\_\_\_

Date

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Applicant or Patentee:  
Serial or Patent No:  
Filed or Issued:  
Title:

Richard M. Crooks, et al.  
10/625,791  
July 22, 2003

Attorney Docket No.  
017575.0858 (TAMUS 1915)

**PHOTONIC SIGNAL REPORTING OF ELECTROCHEMICAL EVENTS**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.27(a)(3) - NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: THE TEXAS A&M UNIVERSITY SYSTEM

ADDRESS OF NONPROFIT ORGANIZATION: 707-A Texas Avenue, Suite 201, College Stations, Texas 77840

TYPE OF NONPROFIT ORGANIZATION:

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA

(NAME OF STATE: )

(CITATION OF STATUTE: )

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))  
IF LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED  
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA

(NAME OF STATE: )

(CITATION OF STATUTE: )

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled **PHOTONIC SIGNAL REPORTING OF ELECTROCHEMICAL EVENTS** by inventor(s) Richard M. Crooks, et al., Wei (nmi) Zhan and Julio (nmi) Alvarez described in:

☐ the specification filed herewith.

☒ Application Serial No. 10/625,791 filed July 22, 2003.

☐ patent no. , issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.27(a)(1) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

NAME:

ADDRESS:

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME:

ADDRESS:

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

TITLE IN ORGANIZATION OF PERSON SIGNING:

ADDRESS OF PERSON SIGNING:

R. Page Heller

Interim Executive Director

3369 TAMU

College Station, Texas 77843-3369

SIGNATURE: 

DATE: 9-25-03